

05-31-01

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PTO-SB-05 (1/98)

UTILITY PATENT APPLICATION

TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	11587 M-10187-43C US
First Named Inventor or Application Identifier	Eliyahou Harari, Robert D. Norman and Sanjeev Mehrotra
Title	Flash EEPROM Systems
Express Mail Label No.	EL707909656US

APPLICATION ELEMENTS

See MPEP chapter 900 concerning utility patent application contents

1. ☒ Fee Transmittal Form - see page 2 of this form.

(Submit an original, and a duplicate for fee processing)

2. Application:

☒ Specification (preferred arrangement set forth below)

Descriptive title of the Invention,
 Cross References to Related Applications,
 Reference to Microfiche Appendix,
 Background of the Invention,
 Brief Summary of the Invention,
 Brief Description of the Drawings, and
 Detailed Description (all totaling 32 pages)
 Appendix(ies) _____ & _____ (pages)

☒ Claim(s) 17 pages

☒ Abstract of the Disclosure 1 page

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 4]

4. Oath or Declaration ☐ unsigned [Total Pages 1]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from prior application (37 CFR 1.63(d))

(for continuations/divisional with Box 17 completed)

c. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☒ Incorporation By Reference (useful if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO:

Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

6. ☐ Microfiche Computer Program Appendix consisting of _____ pages of microfiche containing _____ frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. ☐ Computer Readable Copy
 b. ☐ Paper Copy (identical to computer copy)
 c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) _____ pages

9. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney (combined when there is an _____ with Patent Declaration Assignee) (above.)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS) & ☐ PTO-1449 _____ Copies of IDS Citations/References

12. ☒ Preliminary Amendment 31 pages, plus 17 pages of renumbered drawings

13. ☒ Formalized Drawings consisting of 22 sheets

14. ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized)

15. Small Entity Status

- ☐ Small Entity Statement Enclosed _____ pages
☐ Statement filed in prior application; and status still proper and desired
☐ Is no longer claimed.

16. ☐ Certified Copy of Priority Document(s)

(if foreign priority is claimed)

17. ☐ Other: "Red-lined" copy of Appln. 07/337,566 to show changes made by Preliminary Amendment filed herewith.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

☒ Continuation ☐ Divisional of prior application No. 09/129,675

Filed on August 5, 1998, entitled: Flash EEPROM System.PRIOR APPLICATION INFORMATION: Examiner

Group Art Unit 2824

18. CORRESPONDENCE ADDRESS

X Customer Number or Bar Code Label 27869 Or ☒ Correspondence address below

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19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	12	x \$18	= \$216.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x \$80	=
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))		+		\$260.00	= \$260.00
				BASIC FEE (37 CFR 1.16(a))	= \$ 710.00
				Total of above Calculations	= \$1,186.00
				Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28)	=
				TOTAL	= \$1,186.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to

Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☐ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: _____

NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label



☒ New correspondence address below

27869

PATENT TRADEMARK OFFICE

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23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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Date:	May 29, 2001	
Name	Gerald P. Parsons	Reg. No. 24,486
Signature	<i>Gerald P. Parsons</i>	
Express Mail Label No.	EL707909656US	